

# EASTERN WASHINGTON PARTNERSHIP

## WORKFORCE DEVELOPMENT COUNCIL

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WDC Policy #: 211  
Effective Date: 05/22/2014  
Revision Date: 07/01/2015

**SUBJECT** CUSTOMER CONCERN AND COMPLAINT RESOLUTION

### **PURPOSE**

To encourage prompt resolution of all customer concerns, outline minimum expectations for coordination among partners, and clarify the Workforce Development Council's (WDC's) oversight role in the complaint system.

### **BACKGROUND**

Federal law and regulations require procedures for handling complaints alleging violation of WIOA, Wagner-Peyser (including TAA), and non-discrimination laws. The complexity of these procedures may prevent partners from assisting all customers who have service delivery or customer service related concerns before they rise to the level of a formal, written complaint. The lack of standard expectations for handling concerns limits prompt and informal resolution and may unnecessarily increase the number of formal, written complaints.

This policy provides standard expectations for processing customer concerns and formal complaints. In so doing, it creates distinct definitions of customer "concern" and customer "complaint." Minimum requirements have been established to create a process for referring complaints to partners located at one-stop center and affiliates for additional processing and resolution.

The oversight responsibility of the WDC is also clarified when dealing with complaints from "other interested parties affected by the local Workforce Investment System, including One-Stop partners and service providers" as described in 20 CFR 667.600(c) (1). The state has determined that allegations concerning WorkSource Center and Affiliate partners that do not fall under the defined program and non-discrimination processes described in the attached WorkSource Complaint Handbook shall be processed as concerns.

### **a. Oversight of the Concern and Complaint System**

The Workforce Development Council and its service providers must provide immediate and consistent processing of any customer complaint to assure its resolution. All WorkSource partner staff must be able to assist customer's interest in filing a complaint. WorkSource service providers shall collaborate to establish the following:

- The designation of at least one local complaint coordinator, delegated the responsibility of tracking all local complaints, and notify the WDC of the staff person assigned this responsibility;
- An expectation that local complaint contacts shall collaborate when complaints involve allegations involving multiple partners;
- An informal resolution process for customer concerns;
- A notification to all service providers, in writing, the contact information of the designated complaint coordinator(s).
- An assurance that the complaint coordinator will be informed of all local complaints concerning WorkSource Centers and Affiliate Sites, from point of entry to resolution;
- That at a minimum, the complaint processing requirements contained in the attached WorkSource Complaint Handbook for WIOA, Wagner-Peyser, TAA, and Discrimination will be followed; and
- A single system to log, and track to closure, all complaints defined in the WorkSource Complaint Handbook (Attachment A).

### **b. Local Customer Concern Resolution**

Concerns must be processed at the local level prior to any state level intervention. The intent is to enable partners to assist customers at the lowest level possible, which will encourage the prompt and informal resolution of concerns.

### **c. Complaint Jurisdiction**

All partners located at WorkSource centers, affiliates or elsewhere are responsible for the outcomes of complaints that fall within their jurisdiction. Determinations of jurisdiction will be made based on the specific funding stream that supports the function tied to the complaint allegations, not based on associations other than funding sources.

### **Definitions**

NOTE: For a complete list of definitions refer to the attached Handbook.

**Complaint** – The submission of a written and signed allegation that falls under the jurisdiction of WIOA, Wagner-Peyser, TAA, and/or Non-Discrimination requirements as noted in the WorkSource Complaint Handbook. At a minimum, complaints must contain the following information:

- Complainant's name;
- Mailing address, or other means by which the complainant may be contacted;
- Identification of individual(s) or organizations(s) responsible for the alleged issue;
- A description of the complainant's allegations, which must include enough details to determine the jurisdiction of the complaint and the date(s) the alleged incident(s) took place; and
- The complainant's signature and signature date. The signature of his/her authorized representative is also acceptable.

**Complaint Contact** – The program management or staff designated by program administrators responsible for processing program complaints. WDC Equal Opportunity officers or the State Equal Opportunity Officer may serve as a complaint contact for discrimination complaints. A contact may also be appointed by the One-Stop Operator that initially assists all customers interested in filing a complaint at a local WorkSource office and determines partner(s) program’s complaint jurisdiction if a complaint is subsequently filed.

**Complaint Coordinator** – The WDC designated single point(s) of contact for the WDA or each WorkSource Center and Affiliate Site. The site’s Complaint Coordinator is responsible for facilitating the initial process and promoting coordination to resolve all complaints.

**Concern** – Any verbal expression of dissatisfaction or any written expression of dissatisfaction other than alleged violations of program or non-discrimination rules or laws. Concerns must be referred but do not require the same formal process as a complaint (i.e., logging, tracking, etc.). Local processes may include additional requirements.

### **Supersedes**

WorkSource Policy 1012, Initial Customer Complaints

WIA Policy 3440 Rev 1, WIA Complaint Policy

WIA Policy 3450 Rev 1, Equal Opportunity and Discrimination Complaint Processing Policy and Procedures

WIA Policy 4061, Migrant and Seasonal Farmworker Complaint Policy

TAA Policy 3075, Complaints Appeal Process

### **Website**

[www.ewpartnership.org](http://www.ewpartnership.org)

### **Action**

WorkSource partners must develop local procedures to implement and comply with the requirements contained in this policy and WorkSource Complaint Handbook (Attachment A). Updated local procedures must be referenced in the Memorandum of Understanding (MOU) during the next MOU modification. The WDCs will utilize the WorkSource Complaint Handbook and incorporate local policy requirements within that Handbook.

The WDC and its contractors, as well as the Employment Security Regional Director, shall distribute this policy broadly throughout the system to ensure that WorkSource System partners are familiar with its content and requirements

### **Attachment**

Eastern Washington Partnership WorkSource Complaint Handbook